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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/086,426	03/01/2002	Hiroshi Sasaki	02134/LH	5323
1933	7590	03/05/2004	EXAMINER	
FRISHAUF, HOLTZ, GOODMAN & CHICK, PC			FRANKLIN, JAMARA ALZAIDA	
767 THIRD AVENUE			ART UNIT	PAPER NUMBER
25TH FLOOR				2876
NEW YORK, NY 10017-2023				

DATE MAILED: 03/05/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	10/086,426	SASAKI ET AL.	
	<b>Examiner</b>	<b>Art Unit</b>	
	Jamara A. Franklin	2876	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) Responsive to communication(s) filed on 18 December 2003.
- 2a) This action is **FINAL**.                    2b) This action is non-final.
- 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) Claim(s) 1-7 and 10 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) Claim(s) \_\_\_\_\_ is/are allowed.
- 6) Claim(s) 1-7 and 10 is/are rejected.
- 7) Claim(s) \_\_\_\_\_ is/are objected to.
- 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) The specification is objected to by the Examiner.
- 10) The drawing(s) filed on \_\_\_\_\_ is/are: a) accepted or b) objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) All    b) Some \* c) None of:
1. Certified copies of the priority documents have been received.
2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- 1) Notice of References Cited (PTO-892)
- 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date 6/03.
- 4) Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 5) Notice of Informal Patent Application (PTO-152)
- 6) Other: \_\_\_\_\_.

## **DETAILED ACTION**

Acknowledgment is made of the receipt of the amendment received on 12/18/03. Claims 1-7 and 10 are currently pending.

### ***Claim Objections***

1. Claims 1 and 5 are objected to because of the following informalities:

in claim 1, line 8, substitute “a” with --the--; and

in claim 5, line 9, substitute the first occurrence of “a” with --the--.

Appropriate correction is required.

### ***Claim Rejections - 35 USC § 112***

2. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

3. Claims 6 and 7 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

The examiner submits that claims 6 and 7, as newly amended, are unclear and oddly worded. Claim 6 essentially reads “wherein the recording medium on which the coded image to be subsequently read is recorded is identical to said recording medium on which the coded image...is recorded”. Similarly claim 7 essentially reads “wherein the recording medium on

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which the coded image to be subsequently read is recorded is different from said recording medium on which the coded image...is recorded”.

Clarification of the aforementioned two claims is requested.

***Claim Rejections - 35 USC § 102***

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) and the Intellectual Property and High Technology Technical Amendments Act of 2002 do not apply when the reference is a U.S. patent resulting directly or indirectly from an international application filed before November 29, 2000. Therefore, the prior art date of the reference is determined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

5. Claims 1-7 and 10 are rejected under 35 U.S.C. 102(e) as being anticipated by Dymetman et al. (US 6,330,976) (hereinafter referred to as ‘Dymetman’).

Dymetman teaches a coded image reading apparatus for optically reading a coded image from a recording medium which records information as an optically readable coded image,

wherein the coded image contains record location information indicative of a record location at which the coded image is recorded on said recording medium, the record location information comprises information based on which the coded image reading apparatus outputs information regarding a record location of the coded image to be subsequently read by a user, and the record location information is contained as an element of a physical format or a logical format of the coded image,

said apparatus including:

image inputting means for inputting the coded image;

record location determining means for obtaining the record location information from the coded image input by said image inputting means, and for determining the record location at which the input coded image is recorded on said recording medium; and

outputting means for outputting information regarding the record location of the coded image to be subsequently read, based on the record location determined by said record location determining means (col. 9, lines 1-5);

the apparatus wherein the coded image comprises a plurality of blocks, each of which includes:

an information area containing divided information into which the information is divided;

a marker area including a marker to allow recognition of each of the blocks; and

a block ID area containing block ID information to identify each of the blocks,

wherein the areas are located in accordance with predetermined relative positions of the areas,

wherein the block ID information also functions as the record location information, and

wherein said record location determining means includes a reference table which shows a correspondence between the record location information and the block ID information (col. 12, lines 47-67).

***Response to Arguments***

6. Applicant's arguments filed 12/18/03 have been fully considered but they are not persuasive.

In view of the newly amended claims, the examiner has chosen to maintain the 35 U.S.C. 102(e) rejection using the Dymetman reference as set forth in the previous office action. The examiner submits that evidence of the newly added limitation, "...the record location information comprises information based on which a coded image reading apparatus outputs information regarding a record location of a coded image to be subsequently read by a user", is found in the Dymetman reference in col. 9, lines 1-5. Something which appears on a screen is meant to be read by a user.

***Conclusion***

7. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Ericson et al. (US 6,570,104) teaches position determination.

8. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

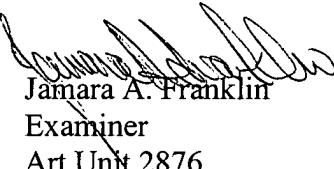
A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jamara A. Franklin whose telephone number is (571) 272-2389. The examiner can normally be reached on Monday through Friday 8:00am to 4:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael G. Lee can be reached on (571) 272-2398. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



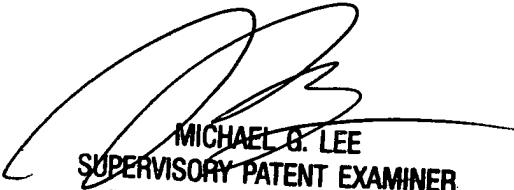
Jamara A. Franklin

Examiner

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JAF

February 26, 2004



MICHAEL G. LEE  
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